



CLIENT NAME:

In approximately how many years do you expect to retire?

Within 3 years _____	75	<input type="text"/>
Between 3 and 5 years _____	150	<input type="text"/>
More than 5 years _____	200	<input type="text"/>

What portion of your total retirement funds does this investment represent?

Less than 25% _____	200	<input type="text"/>
25% - 50% _____	150	<input type="text"/>
50% - 75% _____	100	<input type="text"/>
More than 75% _____	50	<input type="text"/>

Avoiding losses in my investment portfolio over any one-year period is important.

Strongly agree _____	10	<input type="text"/>
Agree _____	18	<input type="text"/>
Neutral _____	26	<input type="text"/>
Disagree _____	32	<input type="text"/>
Strongly disagree _____	40	<input type="text"/>

I am a long-term investor. A potential loss of 10% to 20% in any one year does not concern me.

Strongly Agree _____	40	<input type="text"/>
Agree _____	32	<input type="text"/>
Neutral _____	26	<input type="text"/>
Disagree _____	18	<input type="text"/>
Strongly disagree _____	10	<input type="text"/>

Beating inflation over the long term is more important to me than short-term stable returns.

Strongly Agree _____	40	<input type="text"/>
Agree _____	32	<input type="text"/>
Neutral _____	26	<input type="text"/>
Disagree _____	18	<input type="text"/>
Strongly disagree _____	10	<input type="text"/>

If you could increase your chances of higher returns by taking more risk, would you:

Be willing to take a lot more risk with some of your entire investment? _____	80	<input type="text"/>
Be willing to take a lot more risk with a portion of your investment? _____	64	<input type="text"/>
Be willing to take a little more risk with all your entire investment? _____	52	<input type="text"/>
Be willing to take a little more risk with some of your retirement capital? _____	36	<input type="text"/>
Be unlikely to take any risk? _____	20	<input type="text"/>

TOTAL SCORE

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ALLOCATING A RISK CATEGORY (Managed Portfolios only)

Suggested Funds / Combinations

40% Equity Fund + 30% Fixed Interest Fund + 30% Fixed Interest Fund _____	525+	<input type="text"/>
70% Equity Fund + 30% Fixed Interest Fund _____	525+	<input type="text"/>
50% Equity Fund + 50% Fixed Interest Fund _____	375 - 525	<input type="text"/>
Balanced Fund _____	375 - 525	<input type="text"/>
20% Equity Fund + 80% Fixed Interest Fund _____	225 - 375	<input type="text"/>
Defensive Fund _____	225 - 375	<input type="text"/>
Fixed Interest Fund _____	<225	<input type="text"/>
Money Market Fund _____	<225	<input type="text"/>

DECLARATION

I _____ understand that the above recommendation is a suggestion based on the information that I have given. It offers no guarantees, and SP Wealth Management, its subsidiaries, affiliates and the Retirement Annuity and Preservation Funds accept no liability for any losses or damages suffered by any person for any reason as a result of the use of this Risk Assessment Tool.

Signature _____

Date _____